PATENT COOPERATION TREATY

| From the INTERNAT | TONAL SEARCE | HING AUTH | ORTTY | | 2000 | | |
|--|--|--|------------------------------|---|--|--|--|
| INTERNATIONAL SEARCHING AUTHORITY To: G.B. EHRLICH | | | | PC'TEC'D 0 8 MAY 2006 | | | |
| | LICH (1995) LTI | | | | (1) | | |
| 11 MENACHEM BEGIN STREET RAMTA GAN, ISRAEL 52 521 | | | | WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY | | | |
| | | | | (PCT Rule 43bis.1) | | | |
| | | | | Date of mailing (day/month/year) | 0-3 MAY 2006 | | |
| Applicant' | 's or agent's file re | eterence | | FOR FURTHER ACTION See paragraph 2 below | | | |
| 28383 | | | | | | | |
| Internation | nal application No |) . | International filing date | (day/month/year) Priority date (day/month/year) | | | |
| PCT/IL04/ | | | 13 November 2004 (13. | | 01 December 2003 (01.12.2003) | | |
| Internation | nal Patent Classifi | cation (IPC) | or both national classifica | tion and IPC | | | |
| USPC: | Please See Contin 435/325,352,354,1 | | 391,392,455 | ··· | | | |
| Applicant | | • | | | | | |
| TECHNIC | N RESEARCH & | & DEVELOP | MENT FOUNDATION I | .TD. | | | |
| 1. This o | pinion contains in | ndications rela | ating to the following item | ns: | | | |
| \boxtimes | Box No. I | Basis of the opinion | | | | | |
| \boxtimes | Box No. II | No. II Priority | | | | | |
| \boxtimes | Box No. III | Non-establishment of opinion with regard to novelty, inventive step and industrial applicability | | | | | |
| \boxtimes | Box No. IV | Lack of uni | Lack of unity of invention | | | | |
| | Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement | | | | | | |
| | Box No. VI | Certain documents cited | | | | | |
| \boxtimes | Box No. VII | Certain defects in the international application | | | | | |
| | Box No. VIII | Certain obs | ervations on the internation | onal application | | | |
| 2. FUR | THER ACTIO | N | | | • | | |
| Intern Autho | ational Prelimina rity other than the | ry Examinin is one to be | g Authority ("IPEA") e | xcept that this does IPEA has notified the | be considered to be a written opinion of the not apply where the applicant chooses an he International Bureau under Rule 66.1 bis(b) ered. | | |
| IPEA | a written reply to | gether, where | e appropriate, with amen | dments, before the ex | PEA, the applicant is invited to submit to the piration of 3 months from the date of mailing whichever expires later. | | |
| of Form PCT/ISA/220 or before the expiration of 22 months from the priority date, whichever expires later. For further options, see Form PCT/ISA/220. | | | | | | | |
| 3. For fu | rther details, see i | notes to Form | PCT/ISA/220. | • | | | |
| Name and | mailing address (| of the ISA/U | S Date of compl | etion of this opinion | Authorized officer | | |
| N | Mail Stop PCT, Attn | : ISA/US | | • | | | |
| P | Commissioner for Patents 30 March 2006 P.O. Box 1450 Alexandria, Virginia 22313-1450 | | | 6 (30.03.2006) | | | |
| | Facsimile No. (571) 273-3201 | | | | Telephone No. 571-272-1600 | | |
| form PCT/L | SA/237 (cover sh | ect) (April 20 | 05) | | | | |

International application No.
PCT/IL04/01046

| Box No. I Basis of this opinion | | | | | |
|---|---|--|--|--|--|
| • | | | | | |
| 1. With | regard to the language, this opinion has been established on the basis of: | | | | |
| \boxtimes | the international application in the language in which it was filed | | | | |
| | a translation of the international application into, which is the language of a translation furnished for the purposes of international search (Rules 12.3(a) and 23.1(b)). | | | | |
| 2. With regard to any nucleotide and/or amino acid sequence disclosed in the international application and necessary to the claimed invention, this opinion has been established on the basis of: | | | | | |
| க | type of material | | | | |
| | a sequence listing | | | | |
| | table(s) related to the sequence listing | | | | |
| ъ. | format of material | | | | |
| | on paper | | | | |
| | in electronic form | | | | |
| c. | time of filing/furnishing | | | | |
| | contained in the international application as filed. | | | | |
| | filed together with the international application in electronic form. | | | | |
| | furnished subsequently to this Authority for the purposes of search. | | | | |
| | | | | | |
| 3. | In addition, in the case that more than one version or copy of a sequence listing and/or table(s) relating thereto has been filled or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished. | | | | |
| 4. Additi | ional comments: | | | | |
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Form PCT/ISA/237(Box No. I) (April 2005)

Form PCT/ISA/237 (Box No. II) (April 2005)

International application No.
PCT/IL04/01046

| Box No. II Priority | | | | |
|---------------------|--|--|--|--|
| 1. | The validity of the priority claim has not been considered because the International Searching Authority does not have in its possession a copy of the earlier application whose priority has been claimed or, where required, a translation of that earlier application. This opinion has nevertheless been established on the assumption that the relevant date (Rules 43bis.1 and 64.1) is the claimed priority date. | | | |
| 2. | This opinion has been established as if no priority had been claimed due to the fact that the priority claim has been found invalid (Rules 43bis.1 and 64.1). Thus for the purposes of this opinion, the international filing date indicated above is considered to be the relevant date. | | | |
| | nal observations, if necessary: riority claim is considered invalid because none of the claims are supported by the priority application. | | | |
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International application No.

PCT/IL04/01046

| Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability | | | | |
|--|--|--|--|--|
| The questions whether the claimed invention appears to be novel, to involve an inventive step (to be non-obvious), or to be industrially applicable have not been examined in respect of: | | | | |
| the entire international application | | | | |
| claims Nos. 6 | | | | |
| because: | | | | |
| the said international application, or the said claim Nos relate to the following subject matter which does not require an international search (specify): | | | | |
| | | | | |
| the description, claims or drawings (Indicate particular elements below) or said claims Nos are so unclear that no meaningful opinion could be formed (specify): | | | | |
| | | | | |
| the claims, or said claims Nos. 6 are so inadequately supported by the description that no meaningful opinion could be formed (specify): no is CRF provided | | | | |
| no international search report has been established for said claims Nos. | | | | |
| a meaningful opinion could not be formed without the sequence listing; the applicant did not, within the prescribed time limit: | | | | |
| furnish a sequence listing on paper complying with the standard provided for in Annex C of the Administrative Instructions, and such listing was not available to the International Searching Authority in a form and manner acceptable to it. | | | | |
| furnish a sequence listing in electronic form complying with the standard provided for in Annex C of the Administrative Instructions, and such listing was not available to the International Searching Authority in a form and manner acceptable to it. | | | | |
| pay the required late furnishing fee for the furnishing of a sequence listing in response to an invitation under Rules 13ter.1(a) or (b). | | | | |
| a meaningful opinion could not be formed without the tables related to the sequence listings; the applicant did not, within the prescribed time limit, furnish such tables in electronic form complying with the technical requirements provided for in Annex C-bis of the Administrative Instructions, and such tables were not available to the International Searching Authority in a form and manner acceptable to it. | | | | |
| the tables related to the nucleotide and/or amino acid sequence listing, if in electronic form only, do not comply with the technical requirements provided for in Annex C-bis of the Administrative Instructions. | | | | |
| See Supplemental Box for further details. | | | | |
| m PCT/ISA/237 (Box No. III) (April 2005) | | | | |

International application No.
PCT/IL04/01046

| Box No. IV Lack of unity of invention |
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| Box No. IV Lack of unity of invention In response to the invitation (Form PCT/ISA/206) to pay additional fees like applicant has, within the applicable time limit: paid additional fees paid additional fees under protest and, where applicable, the protest fee paid additional fees paid ad |
| S. Consequently, this opinion has been established in respect of the following parts of the international application: all parts. the parts relating to claims Nos. I-5 and 7-11 |

Form PCT/ISA/237 (Box No. IV) (April 2005)

International application No. PCT/IL04/01046

| Box No. V Reasoned statement under Rule 43 bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement | | | | | |
|---|-------------------------|-----|--|--|--|
| 1. Statement | | | | | |
| Novelty (N) | Claims 3.7-11 | YES | | | |
| | Claims <u>1,2,4,5</u> | No | | | |
| Inventive step (IS) | Claims 3 | YES | | | |
| | Claims 1, 2, 4, 5, 7-11 | No | | | |
| Industrial applicability (IA) | Claims 1-5,7-11 | YES | | | |
| | Claims NONE | NO | | | |
| | | | | | |

2. Citations and explanations:

Claims 1-5 and 7-11 meet the criteria set out in PCT Article 33(4), and thus have industrial applicability because the subject matter claimed can be made or used in industry.

Claims 1, 2, 4, 5 lack novelty under PCT Article 33(2) as being anticipated by Ratcliff et al. The claims are directed to isolated stem cells, particularly embryonic stem cells, wherein the stem cell carries a disease-carrying mutation, that is associated with a genetic disorder, such as cystic fibrosis. Ratcliff et al teach the disruption of the cystic fibrosis transmembrane conductance regulator gene in mouse embryonic stem cells.

Claims 1, 2, 4, 5 lack novelty under PCT Article 33(2) as being anticipated by Van Doominck et al., because they teach production of mutated mouse ES cell line, particularly the delta-F508 mutation, associated with cystic fibrosis.

Claims 1, 2, 4, 5 lack novelty under PCT Article 33(2) as being anticipated by O'Neal et al, because they teach the production of mouse ES cells with a duplication in exon 3 in the cystic fibrosis locus.

Claim 3 meets the criteria set out in PCT Article 33(2)-(3), because the prior art does not teach or fairly suggest the claimed invention.

Claims 7-11 lack an inventive step under PCT Article 33(3) as being obvious over Ratcliff et al or Van Doorninck et al or O'Neal et al when taken with Roach et al. Ratcliff, Van Doorninck and O'Neal all teach mutated mouse ES cell lines. They do not specifically teach the limitations in claims 7-11, with regard to the maintenance of the cells in an undifferentiated state, a normal karyotype, the pluripotent capacity of the cells, the culture medium of the cells. However, Roach et al. teach the critical components in maintaining mouse ES cells. They teach that in order to be considered ES cells, the cells must have a normal karyotype (p. 1, last paragraph), can continue to grow indefinitely in an undifferentiated diploid state (p. 1, 2nd paragraph). They teach media that can be used to maintain the cells, that includes fetal bovine serum (page 2, #2.3, Media and Reagents, #5). They teach the amount of FBS that is required in various media that the ES cells would grow in, which includes at least 10% FBS (Table 1, p. 3). Thus, the combined art provides the requisite teaching and motivation to arrive at the claimed invention, because Ratcliff, Van Doorninck and O'Neal provide a specific cell line, where Roach teach conditions in which to grow these cell lines. One of skill would reasonably expect these cell lines to be maintained under the conditions as taught by Roach.

Form PCT/ISA/237 (Box No. V) (April 2005)

Form PCT/ISA/237 (Box No. VII) (April 2005)

International application No.

PCT/IL04/01046

| | | | | 2 01/2204/01040 | | |
|---|--------------------------|---------------------|-------------------|--------------------|--|---|
| Box No. VII Cert | ain defects in the inte | ernational applic | ation | - | | |
| The following defe | ects in the form or cont | tents of the intern | ational applicati | on have been noted | | |
| The following defects in the form or contents of the international application have been noted: Claim 8 is objected to under PCT Rule 66.2(a)(iii) as containing the following defect(s) in the form or contents thereof: the term "is plated" in mismalled. | | | | | | |
| "isolated" is mispelle | : d. | | | | | |
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International application No. PCT/IL04/01046

| Supplemental Box In case the space in any of the preceding boxes is not sufficient. | |
|---|---|
| Continuation of IPC: C12N 5/00(2006.01),5/02(2006.01),5/06(2006.01),5/10(2006.01),5/08(2006.01),15/63(2006.01) C12N 15/85(2006.01),15/87(2006.01) | |
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Form PCT/ISA/237 (Supplemental Box) (April 2005)